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PTO/SB/05 (11-00)

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| UTILITY PATENT APPLICATION TRANSMITTAL | Attorney Docket No. 06816.0506CON1 | |
| | First Inventor | M. Iyer |
| | Title | METHOD AND APPARATUS FOR CASE-BASED LEARNING |
| | Express Mail Label No. EU893-957-195US | |

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

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| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i> <div style="display: flex; justify-content: space-between;"><div style="width: 48%;"><p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p><p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p><p>3. <input checked="" type="checkbox"/> Specification [Total Pages: 97] <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p><p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets: 19]</p><p>5. Oath or Declaration [Total Pages: 2] a. <input type="checkbox"/> Executed b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p><p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p></div><div style="width: 48%;"><p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p><p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p></div></div> |
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ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
Alexandria, VA 22313-1450

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 10/641,845

Prior application information: Examiner TBD

Group / Art Unit: TBD

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| | | | | | |
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| 17. CORRESPONDENCE ADDRESS | | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | | 35795 | | or <input type="checkbox"/> Correspondence address below | |
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|-------------------|---------------------------|-----------------------------------|----------------------------|
| Name (Print/Type) | Jonathan T. Kaplan | Registration No. (Attorney/Agent) | 38,935 |
| Signature | <i>Jonathan T. Kaplan</i> | | Date September 17, 2003 |



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FORM PTO-1082

Attorney Docket No. 06816.0506CON1

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventor: **Mahesh Anantharaman Iyer**

For: **METHOD AND APPARATUS FOR CASE-BASED LEARNING**

Enclosed are:

- v Specification containing **97** pages (including claims 1-10 and **1** page abstract)
- v **19** sheets of drawings (Figs. 1-5, 6A-6B, 7-9, 10A-10F, 11A-11B, 12, 13A-13B, 14-15)
- o An assignment of the invention to _____
- o Form PTO/SB/35 (Request and Certification under 35 U.S.C. 122(b)(2)(B)(i))
- o A certified copy of a _____ application.
- o A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- v Executed Declaration (2 pgs).

The filing fee has been calculated as shown below:

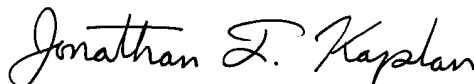
| (Col. 1) | | (Col. 2) | SMALL ENTITY | | OR | OTHER THAN A SMALL ENTITY | |
|---|-------------|-----------|--------------|-----------|----|------------------------------|----------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE | | RATE | FEE |
| BASIC FEE | | | | \$ 370.00 | OR | | \$750.00 |
| TOTAL CLAIMS | 10 -20 = | * 0 | X 9 = | | OR | x 18 = | \$0.00 |
| INDEP. CLAIMS | 3 -3 = | * 0 | x 42 = | | OR | x 84 = | \$0.00 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | | + 140 = | | OR | + 140 = | |
| | | | TOTAL | | OR | TOTAL | \$750.00 |

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

- o Please charge my Deposit Account No. * in the amount of \$ * . A duplicate copy of this sheet is attached.
- v A Credit Card Payment Form in the amount of **\$750.00** to cover the filing fee is enclosed.
- v The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency in payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **502584** referencing docket number **06816.0506CON1**. A duplicate copy of this sheet is attached.
 - v Any additional filing fees required under 37 CFR 1.16.
 - v Any patent application processing fees under 37 CFR 1.17.
- o The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. * referencing docket number * . A duplicate copy of this sheet is enclosed.
 - o Any patent application processing fees under 37 CFR 1.17
 - o The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - o Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date September 17, 2003

Express Mail Number EU893-957-195US



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Specializing in
intellectual property

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September 17, 2003

Transmittal Letter

Via Express Mail No. EU893-957-195US

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Re: U.S. Non-Provisional Utility Patent Application
Appl. No. **To be Assigned / Filed: September 17, 2003**
For: **Method and Apparatus for Case-Based Learning**
Inventors: **Mahesh A. Iyer**
Our Ref: 06816.0506CON1

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. Return postcard listing all the items below
2. Form PTO/SB/05 (*Utility Application Transmittal*)
3. Form PTO-1082 (*Fee Transmittal*)
4. Credit Card Payment Form for \$750.00 (application filing fee including excess claims).
5. U.S. Utility Patent Application entitled:

METHOD AND APPARATUS FOR CASE-BASED LEARNING

and naming as inventor:

Mahesh Anantharaman Iyer

the application consisting of:

- a. A specification containing:
 - (i) 92 pages of description prior to the claims;
 - (ii) 4 pages of claims (**1-10, including 3 independent claims**);
 - (iii) one (**1**) page abstract;
- b. **19** sheet(s) of drawings (**Figures 1-5, 6A-6B, 7-9, 10A-10F, 11A-11B, 12, 13A-13B, 14-15**); and
- c. copy of an Executed Declaration under 37 CFR 1.63(d), from Parent Application, with Application No. 10/641,845 and filed 08/16/03, **2 pgs.**

It is respectfully requested the attached postcard be stamped with the following information and returned to me as soon as possible: the September 17, 2003 filing date of the documents listed hereon (the date of their deposit with Express Mail), and the unofficial application number.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to my Deposit Account No. 502584 referencing the above docket number. *A copy of this letter is enclosed.*

Respectfully submitted,



Jonathan T. Kaplan (Reg. No. 38,935)

Enclosures